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COMPLIANCE SOLUTIONS INC.

ISSUE 17 JAN 2013

The Compliance Connection

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Our Services Include:

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CanaGlobe Compliance Solutions Inc.

CanaGlobe Compliance Solutions Inc is a consulting firm providing corporate compliance and governance services to private and public companies.

We offer assistance with regulatory filings to the various Stock Exchanges and Securities Commissions in Canada as well as in the United States.

In addition, we offer full Corporate Secretary Services and provide assistance in creating Corporate Governance policies for our clients.

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CSA Adopts Amendments to National Instrument 54-101 Communication with Beneficial Owners of Securities

The Canadian Securities Administrators ("CSA") has published notice announcing the adoption of the amendments to National Instrument 54-101 *Communication with Beneficial Owners of Securities of a Reporting Issuer* and National Instrument 51-102 *Continuous Disclosure Obligations* which are intended to improve communications between reporting issuers and their shareholders in the proxy voting process. The key aspects of the amendments include:

- providing reporting issuers with a new notice-and-access mechanism to send proxy-related materials to registered holders and beneficial owners of securities (collectively, **shareholders**);
- simplifying the process by which beneficial owners are appointed as proxy holders in order to attend and vote at shareholder meetings; and
- requiring reporting issuers to provide enhanced disclosure regarding the beneficial owner voting process.

The amendments will become effective on February 11, 2013 (refer to the "Effective Dates" for an explanation of the dates on which specific provisions of the amendments will take effect).

You can read the complete notice [HERE](#).

Notice and Access FAQ's The following FAQ's have been prepared to assist issuer's understand the new process:

[Valiant Trust Company FAQ's](#)

[Broadridge Canada - Notice and Access FAQ's](#)

You can also find various Notice and Access summaries on [OUR BLOG](#) which we will continue to update as more information becomes available.



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Don't forget to visit our Blog at:
[http://
thecomplianceconnection.wordpress
.com](http://thecomplianceconnection.wordpress.com)

2013 Filing Deadlines/Downloadable Calendars **Now Available for Download**

We have created downloadable and installable calendars to help simplify the complicated world of filing due dates for both Venture and Non-venture reporting companies. This feature contains calendars that are organized by year end and stock exchange and contain the filing deadlines specific to that year end. By simply selecting the appropriate link from the list you will be able to download your financial filing deadlines for the 2013 calendar year either into your current calendar or you can create a separate calendar within your email program.

You can find the calendars and the download instructions [HERE](#).

For information on the fees payable with the filing of the audited financial statements please see [our website](#).

ASC 2012 Corporate Finance Disclosure Report

The Alberta Securities Commission (ASC) has posted to their website the 2012 Corporate Finance Disclosure Report webinar and presentation materials. The purpose of this report is to provide market participants with the ASC's comments on the quality of continuous disclosure of Alberta reporting issuers for the 2012 year with the objective of improving the quality of future continuous disclosure, including the following:

- understanding the requirements for consistent, factual and reliable continuous disclosure and;
- finding out what common deficiencies the ASC identified during its review of offering documents

You can view the archived webinar and/or the presentation materials [HERE](#).

Globe and Mail Board Games 2012

The Globe and Mail has published *Board Games 2012*, its annual review and rankings of corporate governance practices of Canadian issuers. The rankings, which are based on a series of questions on board composition, shareholding and compensation, shareholder rights and disclosure can be

CCGG 2012 Best Practices for Proxy Circular Disclosure

The Canadian Coalition for Good Governance ("CCGG") has published to their website the latest edition in their best practices series, *2012 Best Practices for Proxy Circular Disclosure*.

You can find a copy of the document [HERE](#).

ASC Oil & Gas Review The Alberta Securities Commission (ASC) has posted to their website a copy of the December 11, 2012 Oil and Gas Disclosure Review presentation which may be helpful in the preparation of 51-101 continuous disclosure documents.

You can view the archived webinar and/or the presentation materials [HERE](#).

Reminder – SEDI - If an issuer grant report for stock option grants or similar instrument awards was filed in 2012, the individual grants or awards must be reported by March 31, 2013.

DISCLAIMER: The contents of this Newsletter is provided for information purposes only and does not provide advice, legal or otherwise.