



CanaGlobe

COMPLIANCE SOLUTIONS INC.

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The Compliance Connection

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Our Services Include:

- Corporate Secretary Services
- SEDAR Filings
- EDGAR Filings
- SEDI Filings
- Compliance Consulting
- Shareholder Meetings
- Stock Exchange Applications
- Whistleblowing

CanaGlobe Compliance Solutions Inc.

CanaGlobe Compliance

Solutions Inc. is a

consulting firm focused on

all aspects of corporate

compliance with an

emphasis on electronic

regulatory filings in both

Canada and the United

States. Brenda Davis and

Suzanne Ferguson have a

strong understanding of

the need for good

corporate governance and

coupled with years of

experience in the field are

poised to meet the unique

needs of each client.

info@canaglobecompliance.com

Upcoming Seminars and Webinars

CanaGlobe Compliance Solutions Inc. and Eli Mina Consulting collaborate to bring you the following series of professional development sessions:

HALF DAY SEMINARS IN CALGARY – NOVEMBER 1, 2012

- *"Minute Taking Standards & Related Issues"* – based on the book "Mina's Guide to Minute Taking"
- *"101 Boardroom Problems and How to Solve Them"* – based on Eli Mina's book of the same name

IN-CAMERA MEETING MINUTES – WEBINAR NOVEMBER 20, 2012

What business should or must be conducted behind closed doors ("in-camera")? Should minutes be taken in such meetings, and if so, who should take them?

For more details and to register for the seminars or the webinar click [HERE](#).

Interactive Pay-for-Performance Analysis Tool

The Globe and Mail has published the Global Governance Advisors interactive pay-for-performance analysis tool that measures CEO compensation against company performance relative to other Top 100 companies in the S&P/TSX Composite Index as of Dec. 31, 2011.

This tool allows users to look at pay in five different combinations and measures it against one or more of seven common performance metrics.

You can find the Interactive Pay-for-Performance tool [HERE](#).

ASC Publishes Oil & Gas Review Report

The Alberta Securities Commission has published its annual Oil & Gas Review Report. The report outlines recurring disclosure deficiencies and contains other valuable information for issuers who are required to comply with National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities*.

The report can be viewed in its entirety [HERE](#).



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To continue to receive future editions of our newsletter please subscribe [HERE](#).

2012 Filing Deadlines/Downloadable Calendars

We have created downloadable and installable calendars to help simplify the complicated world of filing due dates for both Venture and Non-venture reporting companies. This feature contains calendars that are organized by year end and stock exchange and contain the filing deadlines specific to that year end. By simply selecting the appropriate link from the list you will be able to download your financial filing deadlines for the 2012 calendar year either into your current calendar or you can create a separate calendar within your email program.

You can find the calendars and the download instructions [HERE](#).

For information on the fees payable with the filing of the audited financial statements please see [our website](#).

Don't forget to visit our Blog at:

<http://thecomplianceconnection.wordpress.com>

CSA Continuous Disclosure Review Program

The Canadian Securities Administrators ("CSA") has issued CSA Staff Notice 51-337 *Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2012*. This notice summarizes the results of the continuous disclosure review program of reporting issuers (other than investment funds) and highlights certain elements to assist issuers with their accounting and continuous disclosure requirements as applicable to financial statements, the management's discussion and analysis (MD&A) and other regulatory deficiencies.

You can find the complete notice [HERE](#).

CSA Notice of Republication and Request for Comment Regarding Proposed National Instrument 51-103 Ongoing Governance and Disclosure Requirements for Venture Issuers

The Canadian Securities Administration ("CSA") has published 51-103 *Ongoing Governance and Disclosure Requirements for Venture Issuers* for a second comment period.

Upon review of the initial comments the CSA determined that some aspects of the original proposal, published July 2011, required modification including the interim reporting requirement at each of the 3, 6 and 9 month periods (a change from the initial proposition where interim reporting would occur only at mid-year).

You can read the complete Notice of Republication [HERE](#) and comments must be submitted in writing by December 12, 2012.

Proposed Amendments to OSC Rule 13-502 Fees and Companion Policy 13-502CP Fees

The Ontario Securities Commission ("OSC") has published for comment its proposed amendments to OSC Rule 13-502 Fees with subsequent changes proposed to Companion Policy 13-502CP. The proposed changes will better align the OSC's costs and revenues and will help to provide the resources required to meet increasing regulatory commitments.

Some of the proposed amendments include: participation fees for a reporting issuer would be determined with reference to its capitalization for its "reference fiscal year"; an additional tier is proposed for reporting issuers with under \$10 million of capitalization; and various adjustments to activity fees.

You can read the complete notice [HERE](#) and comments on the proposed changes must be submitted in writing by November 21, 2012.

Reminder - If your company has a Code of Conduct or Code of Ethics a copy of the document is required to be filed on SEDAR.

DISCLAIMER: The contents of this Newsletter is provided for information purposes only and does not provide advice, legal or otherwise.